

DISCLOSURE STATEMENT (Financial Advisor)

Name of financial adviser: **John Sexton**

Address: **Level One, Lakesyde Business Centre, 1182 Whakaue Street, PO Box 949, Rotorua 3040**

Trading name: **Dawson Insurance Brokers Ltd**

Telephone number: **07 348 0479** Fax number: **07 346 3118**

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This disclosure statement was prepared on: **1st January 2020**

It is important that you read this document.

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorised, financial adviser.

I can give you advice about: Risk and Insurance Products other than Investment Linked Insurance Contracts as defined in the Financial Advisors Act 2008.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me in the first instance so that I can try to fix the problem using Dawson's internal complaints scheme.

If we cannot agree on how to fix the issue, or if you decide not to use the Dawson's internal complaints scheme, you can contact Financial Services Complaints Ltd (FSCL) (www.fscl.co.nz) of which I am a member. This service will cost you nothing, and will help us resolve any disagreements.

You can contact FSCL at:

- Address: PO Box 5697 Lambton Quay Wellington 6145
- Telephone number: 0800 347 257
- Email address: info@fscl.org.nz

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority regulates financial advisers. Please contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under "What should you do if something goes wrong?").

Declaration

I, John Edward Sexton, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisors Act 2008 and the Financial Advisors (Disclosure) Regulations 2010.

Signed:



Dawson Insurance Brokers Ltd

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